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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of BALLARD, et al.

Application No. 09/610,061

Examiner: Opsasnick, M.

Filed: July 5, 2000

Group Art Unit: 2645

For: SPEECH RECOGNITION CORRECTION FOR DEVICES HAVING LIMITED OR NO DISPLAY

CERTIFICATE UNDER 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231 on

1/9/02
Date

Kevin T. Cuenot, Reg. No. 46,283

Kevin T. Cuenot, Esq.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR §1.97(b)

RECEIVED

JAN 31 2002

Technology Center 2600

Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to the Duty to Disclose under 37 C.F.R. §1.56, the references cited on the accompanying form PTO-1449 are hereby brought to the attention of the Examiner for independent evaluation. A copy of each reference or its U.S. equivalent is enclosed. The references were cited in a search report drawn up by the European Patent Office on the corresponding European patent application. A copy of the foreign search report is also enclosed, which satisfies the requirement for a brief explanation of relevance of non-English language documents.

Certification

This Statement is being filed prior to the issuance of the first Office Action for the present application, and this paper is thus submitted in accordance with 37 CFR 1.97(b). In addition, it is certified that each item of information contained in this

Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. In view of the above certification, a fee is not required for consideration of this document. Nevertheless, should a fee be deemed to be due by the Commissioner, such fee should be charged to Deposit Account No. 50-0951. Applicant submits that the present invention is patentable over these references.

Respectfully submitted,

Dated: 1/9/02

By: Kevin T. Cuenot
Gregory A. Nelson, Reg. No. 30,577
Steven M. Greenberg, Reg. No. 44,725
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Docket No. 6169-103
IBM Docket No.: BOC9-1998-0099

GP/2645

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TRANSMITTAL LETTER

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Washington, D.C. 20231

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Please find enclosed the following for filing:

- ☒ Supplemental Information Disclosure Statement, Form PTO-1449 with References
- ☒ Please charge any deficiencies or credit any overpayment to Deposit Account No. 50-0951
- ☒ Other: (1) Postcard

This Transmittal Letter is submitted in duplicate.

Respectfully submitted,

Dated: 1/9/02

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